



CALIFORNIA STATE UNIVERSITY, LONG BEACH

DIVISION OF ACADEMIC AFFAIRS

July 15, 2011

To: Deans, Associate Deans, and Principal Investigators

C: President Alexander, Vice Presidents Robinson, Stephens and Taylor

From: Provost ~~Pa~~

Re: New practices and procedures for Grants and Contracts ~~Facilities~~ and Administrative (F&A) funds

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 CSULB's academic purpose is to "graduate students with highly valued degrees." In seeking to fulfill CSULB's academic purpose, the university has established two priorities: student success and faculty support. External grants and contracts (G&C) can and do contribute to the high value of our degrees and to our priorities.

Our goals include encouraging the research, scholarly and creative activities of our excellent faculty, maintaining and improving support for G&C activities at CSULB, maintaining excellent customer service for principal investigators, and increasing the number and amount of grants awarded to the campus.

When G&C are awarded, "Facilities and Administration (F&A) revenues (federal government terminology) are often provided by funding agencies along with direct G&C revenue to defray the costs of administering G&C related activities and maintaining research facilities. Periodically, the federal government negotiates with campuses to establish maximum F&A rates, specific to each campus for on-campus and off-campus federally funded research programs and projects. Some but not all external G&C will bring in revenues with the federal maximum F&A rate. Many non-federal and private funding agencies and fellowships pay less or no F&A at all. The campus mixture of G&C combines to an average "effective" F&A rate, which can be (currently is for CSULB) far less than the federal maximum rate.

G&C activities generate F&A expenses that must be covered. The Office of Research & Sponsored Programs (ORSP) assists faculty and staff in preparing and submitting grants and in

In developing our F&A administrative practices & procedures, it has been necessary to balance the following complex parameters:

Although we do not expect to recover fully all costs of administering G&C, we must deal with drastically shrinking state resources and use our scarce resources in the most strategic ways possible.

We wish to be able to fully support without disadvantaging external awards for equipment, fellowships and some other awards that provide little or no F&A.

We wish to streamline services that support G&C principal investigators as much as possible.

used in the proposal, subject to the approval of the AVP for Research and Sponsored Programs. The AVP for Research and Sponsored Programs has authority for approving/disapproving F&A rates and proposed budgets in all proposals. The campus will make every effort to support quality research proposals if F&A rates are low or zero.

3. For G&C bringing full federally negotiated campus F&A rate or with rates at or higher than the current off

interest. Funds in 17 accounts may not be used to pay account owners stipend or salary without prior approval of the AVP for Research and Sponsored Programs.  
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